ABSTRACT

For next-generation technology nodes, multiple patterning lithography (MPL) has emerged as a key solution, e.g., triple patterning lithography (TPL) for 14/11nm, and quadruple patterning lithography (QPL) for sub-10nm. In this paper, we propose a generic and robust layout decomposition framework for QPL, which can be further extended to handle any general K-patterning lithography (K>4). Our framework is based on the semidefinite programming (SDP) formulation with novel coloring encoding. Meanwhile, we propose fast yet effective coloring assignment and achieve significant speedup. To our best knowledge, this is the first work on the general multiple patterning lithography layout decomposition.

Categories and Subject Descriptors
B.7.2 [Hardware, Integrated Circuit]: Design Aids

General Terms
Algorithms, Design, Performance

Keywords
Multiple Patterning Lithography, Layout Decomposition

1. INTRODUCTION

As the minimum feature size further decreases, multiple patterning lithography (MPL) has become one of the most viable solutions to sub-14nm half-pitch patterning, along with extreme ultra violet lithography (EUVL), electric beam lithography (EBL), and directed self-assembly (DSA) [1,2]. Last few years have seen extensive researches on MPL technology such as double patterning [3], and triple patterning [4]. Continuing growth of technology node is expected to shrink further down to 11nm or beyond. Such advance is, nonetheless, making conventional patterning processes barely sufficient for the next generation.

Quadruple patterning lithography (QPL) is a natural extension along the paradigm of double/triple patterning. In the QPL manufacturing, there are four exposure/etching processes, through which the initial layout can be produced. Compared with triple patterning lithography, QPL introduces one more mask. Although increasing the number of processing steps by 33% over triple patterning, there are several reasons/advantages for QPL. Firstly, due to the delay or uncertainty of other lithography techniques, such as EUVL, semiconductor industry needs CAD tools to be prepared and understand the complexity/implication of QPL. Even from theoretical perspective, studying the general multiple patterning is valuable. Secondly, it is observed that for triple patterning lithography, even with stitch insertion, there are several common native conflict patterns. As shown in Fig. 1 (a), contact layout within the standard cell may generate some 4-clique patterns, which are indecomposable. This conflict can be easily resolved if four masks are available (see Fig. 1 (b)). Thirdly, with one more mask, some stitches may be avoided during manufacturing. By this way it is potential to resolve the overlapping and yield issues derived from the stitches.

The process of QPL brings up several critical yet open design challenges, such as layout decomposition, where the original layout is divided into four masks (colors). Double/triple patterning layout decomposition with conflict and stitch minimization has been well studied for full-chip layout [3–12] and cell based design [13–15]. The problem can be optimally solved through expensive integer linear programming (ILP) [3–5]. To overcome the long runtime problem of ILP solver, for double patterning, partitioning/matching based methods have been proposed [6,7]; while for triple patterning, some speedup techniques, e.g., semidefinite programming (SDP) [4,10], and heuristic coloring assignment [8,9] have been proposed. However, how to effectively solve the quadruple patterning, or even general multiple patterning problems, is still an open question.

In this paper, we deal with the quadruple patterning layout decomposition (QPLD) problem. Our contributions are highlighted as follows. (1) To our best knowledge, this is the first layout decomposition research for QPLD problem. We believe this work will invoke more future research into this field thereby promoting the scaling of technology node. (2) Our framework consists of holistic algorithmic processes, such as semidefinite programming based algorithm, linear color as-

Figure 1: (a) A common native conflict from triple patterning lithography; (b) The conflict can be resolved through quadruple patterning lithography.
ization, and novel GH-tree based graph division. (3) We demonstrate the viability of our algorithm to suit with general K-patternning (K ≥ 4) layout decomposition, which could be advanced guidelines for future technology.

The rest of the paper is organized as follows. In Section 2, we give the problem formulations and the overall decomposition flow. In Section 3 and Section 4 we propose the color assignment algorithms and graph division techniques, respectively. Section 5 extends our methodologies to general K-patternning problem. Section 6 presents the experiment results, followed by conclusion in Section 7.

2. PRELIMINARIES

2.1 Problem Formulation

Given input layout which is specified by features in polygonal shapes, a decomposition graphs [4, 5] is constructed by Definition 1.

**Definition 1 (Decomposition Graph).** A decomposition graph is an undirected graph \( \{V, CE, SE\} \) with a single set of vertices \( V \), and two edge sets \( CE \) and \( SE \) containing the conflict edges (CE) and stitch edges (SE), respectively. Each vertex \( v \in V \) represents a polygonal shape, an edge \( e \in CE \) exists iff the two polygonal shapes are within minimum coloring distance \( \text{min}_s \), and an edge \( e \in SE \) iff there is a stitch candidate between the two vertices which are associated with the same polygonal shape.

Now we give the problem formulation of quadruple patterning layout decomposition (QPLD).

**Problem 1 (QPLD).** Given an input layout which is specified by features in polygonal shapes and minimum coloring distance \( \text{min}_s \), the decomposition graph is constructed. Quadruple patterning layout decomposition (QPLD) assigns all the vertices into one of four colors (masks) to minimize conflict number and stitch number.

The QPLD problem can be extended to general K-patternning layout decomposition problem as follows.

**Problem 2 (K-Patterning Layout Decomposition).** Given an input layout, the decomposition graph is constructed. Each vertex in graph would be assigned into one of \( K \) colors (masks) to minimize conflict number and stitch number.

2.2 Overview of Layout Decomposition Flow

![Diagram of Layout Decomposition Flow](image)

Figure 2: Proposed layout decomposition flow.

The overall flow of our layout decomposition is summarized in Fig. 2. We first construct decomposition graph to transform the original geometric patterns into a graph model. By this way, the QPLD problem can be formulated as 4 coloring on the decomposition graph. To reduce the problem size, graph division techniques (see Section 4) are applied to partition the graph into a set of components. Then the color assignment problem can be solved independently for each component, through a set of algorithms discussed in Section 3.

3. COLOR ASSIGNMENT IN QPLD

Given decomposition graph \( G = \{V, CE, SE\} \), color assignment would be carried out to assign each vertex into one of four colors (masks), to minimize both the conflict number and the stitch number. In this section, we propose two color assignment algorithms, i.e., semidefinite programming (SDP) based algorithm, and linear color assignment.

3.1 SDP Based Color Assignment

![Diagram of Vector Definition](image)

Figure 3: Four vectors correspond to four colors.

Semidefinite programming (SDP) has been successfully applied to triple patterning layout decomposition [4, 10]. Here we will show that SDP formulation can be extended to solve QPLD problem. To represent four different colors (masks), as illustrated in Fig. 3, four unit vectors are introduced [16]: \((-\sqrt{3}/2, -\sqrt{3}/2, -\sqrt{3}/2, -\sqrt{3}/2\) and \((-\sqrt{3}/2, \sqrt{3}/2, -\sqrt{3}/2, -\sqrt{3}/2\)). We construct the vectors in such a way that inner product for any two vectors \(v_i, v_j\) satisfying: \(v_i \cdot v_j = 1\) if \(v_i = v_j\); \(v_i \cdot v_j = -\frac{1}{3}\) if \(v_i \neq v_j\).

Based on the vector definition, the QPLD problem can be formulated as the following vector programming:

\[
\begin{align*}
\min & \sum_{e_{ij} \in CE} \frac{3}{4} (v_i \cdot v_j + \frac{1}{3}) + \frac{3\alpha}{4} \sum_{e_{ij} \in SE} (1 - v_i \cdot v_j) \\
\text{s.t.} & \quad v_i \in \{(0, 0, 1), (0, \sqrt{2}/3, -\frac{1}{3}), (\sqrt{3}/3, -\sqrt{2}/3, -\frac{1}{3}), (-\sqrt{3}/3, -\sqrt{2}/3, -\frac{1}{3})\}
\end{align*}
\]

where the objective function is to minimize the conflict number and the stitch number. \(\alpha\) is a user-defined parameter, which is set as 0.1 in this work. After relaxing the discrete constraints in (1) and removing the constant in objective function, we redrew the following semidefinite programming (SDP) formulation.

\[
\begin{align*}
\min & \quad \sum_{e_{ij} \in CE} v_i \cdot v_j - \alpha \sum_{e_{ij} \in SE} v_i \cdot v_j \\
\text{s.t.} & \quad v_i \cdot v_i = 1, \quad \forall v_i \in V \\
& \quad v_i \cdot v_j \geq -\frac{1}{3}, \quad \forall e_{ij} \in CE
\end{align*}
\]

After solving the SDP, we get a set of continuous solutions in matrix \(X\), where each value \(x_{ij}\) in matrix \(X\) corresponds to \(v_i \cdot v_j\). If \(x_{ij}\) is close to 1, vertices \(v_i, v_j\) are tend to be
in the same mask (color). A greedy mapping algorithm [4] can be directly applied here to get color assignment solution. However, the performance of greedy method may not be good.

**Algorithm 1 SDP + Backtrack**

**Input:** SDP solution \( x_{ij} \), threshold value \( t_{th} \);

1. **for all** \( x_{ij} \geq t_{th} \) **do**
2. Combine vertices \( v_i, v_j \) into one larger vertex;
3. **end for**
4. Construct merged graph \( G' = \{V', CE', SE'\} \);
5. BACKTRACK(0, \( G' \));
6. **return** color assignment result in \( G' \);

7. **function** BACKTRACK\((t, G')\)
8. **if** \( t \geq \text{size}[G'] \) **then**
9. **if** Find a better color assignment **then**
10. Store current color assignment;
11. **end if**
12. **else**
13. **for all** legal color \( c \) **do**:
14. \( G'[t] \leftarrow c \);
15. BACKTRACK\((t+1, G')\);
16. \( G'[t] \leftarrow -1 \);
17. **end for**
18. **end if**
19. **end function**

To overcome the limitation of the greedy method, in our framework a backtrack based algorithm (see Algorithm 1) is proposed to consider both SDP results and graph information. The backtrack based method accepts two arguments of the SDP solution \( \{x_{ij}\} \) and a threshold value \( t_{th} \). In our work \( t_{th} \) is set as 0.9. As discussed above, if \( x_{ij} \) is close to be 1, two vertices \( v_i \) and \( v_j \) tend to be in the same color (mask). Therefore, we scan all pairs, and combine some vertices into one larger vertex (lines 1–3). After the combination, the vertex number can be reduced, thus the graph has been simplified (line 4). The simplified graph is called merged graph [10]. On the merged graph, BACKTRACK algorithm is provided to search an optimal color assignment (lines 7–19).

### 3.2 Linear Color Assignment

Backtrack based method may still involve runtime overhead, especially for complex case where SDP solution cannot provide enough merging candidates. Therefore, an efficient color assignment is required. At first glance, the color assignment for quadruple patterning can be solved through four color map theorem [17] that every planar graph is 4-colorable. However, in emerging technology node, the designs are so complex that we observe many \( K_5 \) or \( K_{3,3} \) structures, where \( K_5 \) is the complete graph on five vertices, while \( K_{3,3} \) is the complete bipartite graph on six vertices. Due to Kuratowski’s theorem [18], the decomposition graph is not planar, thus classical four coloring techniques [19] is hard to be applied.

Here we propose an efficient color assignment algorithm. Note that our method is targeting general graph, not just planar graph. In addition, different from classical four coloring method that needs quadratic runtime [19], our color assignment is a linear runtime algorithm.

The details of linear color assignment is summarized in Algorithm 2, which involves three stages. The first stage is iteratively vertex removal. For each vertex \( v_i \), we denote its conflict degree \( d_{conf}(v_i) \) as number of conflict edges incident to \( v_i \), while its stitch degree \( d_{stitch}(v_i) \) as number of stitch edges. The main idea is that the vertices with conflict degree less than 4 and stitch degree less than 2 are identified as non-critical, thus can be temporarily removed and pushed into stack \( S \) (lines 1–4). After coloring remaining vertices, each vertex in stack \( S \) would be pop up one by one and assigned one legal color (lines 11-15). This strategy is safe in terms of conflict number. In other words, when a vertex is pop up from \( S \), there is always one color available without introducing new conflict.

In the second stage (lines 5-9), all remaining vertices would be assigned colors one by one. However, color assignment through one specific order may be stuck at local optimum which stems from the greedy nature. For example, given a decomposition graph in Fig. 4 (a), if the coloring order is \( a-b-c-d-e \), when vertex \( d \) is greedily selected grey color, the following vertex \( e \) cannot find any color without conflict (see Fig. 4 (b)). In other words, vertex ordering significantly impacts the coloring result.

To alleviate the impact of vertex ordering, two strategies are proposed. The first strategy is called color-friendly rules, as in Definition 2. In Fig. 4 (c), all conflict neighbors of pattern \( d \) are labeled inside a grey box. Since the distance between \( a \) and \( d \) is within the range of \( \min_{a} \min_{e} + \text{hp} \), \( a \) is color-friendly to \( d \). Interestingly, we discover a rule that for a complex/dense layout, color-friendly rules tend to be with the same color. Based on these rules, during linear color assignment, to determine one vertex color, instead of just comparing its con-

**Figure 4**

(a) Decomposition graph; (b) Greedy coloring with one conflict; (c) \( a \) is detected as color-friendly to \( d \); (d) Coloring considering color-friendly rules.
flict/stitch neighbors, the colors of its color-friendly vertices would also be considered. Detecting color-friendly vertices is similar to the conflict neighbor detection, thus it can be finished during decomposition graph construction without much additional efforts.

**Definition 2 (Color-Friendly).** A pattern \( a \) is color-friendly to pattern \( b \), if their distance is larger than \( \min_s \), but smaller than \( \min_s + hp \). Here \( hp \) is the half pitch.

Our second strategy is called peer selection, where three different vertex orders would be processed simultaneously, and the best one would be selected as the final coloring solution (lines 6–8). Although color assignment is solved thrice, since for each order the coloring is in linear time, the total computational time is still linear.

In the third stage (line 10), post-refinement greedily checks each vertex to see whether the solution can be further improved.

For a decomposition graph with color-friendly information and \( n \) vertices, in the first stage vertex removal/pop up can be finished in \( O(n) \). In the second stage, as mentioned above the coloring needs \( O(n) \). In post-refinement stage, all vertices are traveled once, which requires \( O(n) \) time. Therefore, the total complexity is \( O(n) \).

4. **Graph Division for QPLD**

Graph division is a technique that partitions the whole decomposition graph into a set of components, then the color assignment on each component can be solved independently. In our framework, the techniques extended from previous work are summarized as follows, (1) Independent Component Computation [4–10, 13]; (2) Vertex with Degree Less than 3 Removal [4,8–10] \(^1\); (3) 2-Vertex-Connected Component Computation [8–10].

4.1 **GH-Tree Based 3-Cut Removal**

Another technique, cut removal, has been proven powerful in double/patterning layout decomposition [4,7,8]. A cut of a graph is an edge whose removal disconnects the graph into two components. The definition of cut can be extended to 2-cut (3-cut), which is a double (triplet) of edges whose removal would disconnect the graph. However, different from the 1-cut and 2-cut detection that can be finished in linear time [8], 3-cut detection is much more complicated. In this subsection we propose an effective 3-cut detection method. Besides, our method can be easily extended to detect any K-cut (K \( \geq 3 \)).

**Figure 5:** An example of 3-cut detection and removal. \(^1\)

\(^1\)In QPLD problem, the vertices with degree less than 4 would be detected and removed temporally.

**Figure 6:** (a) Decomposition graph; (b) Corresponding GH-tree; (c) Components after 3-cut removal.

Fig. 5 (a) shows a graph with a 3-cut \((a-d, b-e, c-f)\), and two components can be derived by removing this 3-cut. After color assignment on two components, for each cut edge, if the colors of the two endpoints are different, the two components can be merged directly. Otherwise, a color rotation operation is required to one component. For vertex \( v \) in graph, we denote \( c(v) \) as its color, where \( c(v) \in \{0,1,2,3\} \). Vertex \( v \) is said to be rotated by \( i \), if \( c(v) \) is changed to \((c(v) + i) \mod 4\). It is easy to see that all vertices in one component should be rotated by the same value, so no additional conflict is introduced within the component. An example of such color rotation operation is illustrated in Fig. 5 (b)-(c), where conflict between vertices \( c,f \) would be removed to interconnect two components together. Here all the vertices in component 2 are rotated by 1 (see Fig. 5 (c)). We have the following Lemma:

**Lemma 1.** In QPLD problem, color rotation after interconnecting 3-cut does not increase the conflict number.

In addition, to detect all 3-cuts, we have the following Lemma:

**Lemma 2.** If the minimum cut between two vertices \( v_i \) and \( v_j \) is less than 4, then \( v_i, v_j \) belong to different components that divided by a 3-cut.

Based on Lemma 2, we can see that if the cut between vertices \( v_i, v_j \) is larger or equal to 4 edges, \( v_i, v_j \) should belong to the same component. One straightforward 3-cut detection method is to compute the minimum cuts for all the \( \{s-t\} \) pairs. However, for a decomposition graph with \( n \) vertices, there are \( n(n - 1)/2 \) pairs of vertices. Computing all these cut pairs may spend too long runtime, which is impractical for complex layout design.

Gomory and Hu [20] showed that the cut values between all the pairs of vertices can be computed by solving only \( n - 1 \) network flow problems on graph \( G \). Furthermore, they showed that the flow values can be represented by a weighted tree \( T \) on the \( n \) vertices, where for any pair of vertices \((v_i, v_j)\), if \( e \) is the minimum weight edge on the path from \( v_i \) to \( v_j \) in \( T \), then the minimum cut value from \( v_i \) to \( v_j \) in \( G \) is exactly the weight of \( e \). Such a weighted tree \( T \) is called Gomory-Hu tree (GH-tree). For example, given the decomposition graph in Fig. 6 (a), the corresponding GH-tree is shown in Fig. 6 (b), where the value on edge \( e_{ij} \) is the minimum cut number between vertices \( v_i \) and \( v_j \). Because of Lemma 2, to divide the graph through 3-cut removal, all the edges with value less than 4 would be removed. The final three components are in Fig. 6 (c).

The procedure of the 3-cut removal is shown in Algorithm 3. Firstly we construct GH-tree based on the algorithm by [21] (line 1). Dinic’s blocking flow algorithm [22] is applied to help GH-tree construction. Then all edges in the GH-tree with weights less than four are removed (line 2). After solving the connected component problem (line 3), we can assign colors to...
Theorem 1: SDP formulation in (3) can provide a global view.

Algorithm 3 GH-tree based 3-Cut Removal

Input: Decomposition graph $G = \{V, CE, SE\}$
1: Construct GH-tree as in [21];
2: Remove the edges with weight < 4;
3: Compute connected components on remaining GH-tree;
4: for each component do
5: Color assignment on this component;
6: end for
7: Color rotation to interconnect all components;

5. GENERAL K-PATTERNING LAYOUT DECOMPOSITION

In this section, we demonstrate that our layout decomposition framework is generalizable to K-pattering layout decomposition, where $K > 4$.

Theorem 1: SDP formulation in (3) can provide $v_i \cdot v_j$ pairs for K-pattering color assignment problem.

$$\min \sum_{e_{ij} \in CE} (v_i \cdot v_j + \frac{1}{k-1}) + \alpha \sum_{e_{ij} \in SE} (1 - v_i \cdot v_j) \quad (3)$$

s.t. $v_i \cdot v_j = 1$, $\forall i \in V$
$v_i \cdot v_j \geq -\frac{1}{k-1}$, $\forall e_{ij} \in CE$

We can see that if $K = 4$, formulation (3) equivalents to (2). Rephrasing both the SDP formulation in (3) and backtrack method in Algorithm 1, the color assignment problem for K-pattering can be resolved. In addition, the linear color assignment algorithm in Section 3.2 can be extended to general K-pattering problem as well.

All the graph division techniques in Section 4 can be extended here. Besides, we draw the following Theorem:

Theorem 2: For K-pattering layout decomposition problem, dividing graph through $(K - 1)$-cut does not increase the final conflict number.

The proof can be provided by extending Lemma 1. Based on Theorem 2, GH-tree based cut removal in Section 4 can be applied here to search all $(K - 1)$-cuts. That is, after constructing GH-tree, all edges with weight less than $K$ are removed.

6. EXPERIMENTAL RESULTS

We implemented the proposed layout decomposition algorithms in C++, and tested on a Linux machine with 2.9GHz CPU. We choose GUROBI [23] as the integer linear programming (ILP) solver, and CSDP [24] as the SDP solver. The benchmarks in [4, 8] are used as our test cases. We scale down the Metal1 layer to 20nm half pitch. Both the minimum feature width $w_m$ and the minimum spacing between features $s_m$ are 20nm. From Fig. 7 we can see that when minimum coloring distance $min_s = 2 \cdot s_m + w_m = 60$nm, even one dimension regular patterns can be a $K_5$ structure, which is not 4-colorable or planar [18]. In our experiments, for quadruple patterning $min_s$ is set as $2 \cdot s_m + 2 \cdot w_m = 80$nm, while for pentuple patterning $min_s$ is set as $3 \cdot s_m + 2.5 \cdot w_m = 110$nm. When larger $min_s$ is applied, there are too many native conflicts in layouts, as the benchmarks are not multiple patterning friendly.

Figure 7: $min_s = 2 \cdot s_m + w_m$ may cause $K_5$ structure.

6.1 Quadruple Patterning

First we compare different color assignment algorithms for quadruple patterning, and the results are listed in Table 1. “ILP”, “SDP+Backtrack”, “SDP+Greedy” and “Linear” denote ILP formulation, SDP followed by backtrack mapping (Section 3.1), SDP followed by greedy mapping, and linear color assignment (Section 3.2), respectively. Here we implement an ILP formulation extended from the triple patterning work [4]. In SDP+Greedy, a greedy mapping from [4] is applied. All the graph division techniques, including GH-tree based division, are applied. The columns “cn#” and “st#” denote the conflict number and the stitch number, respectively. Column “CPU(s)” is color assignment time in seconds.

Table 1 compares six most dense cases. We can see that compared with ILP, SDP+Backtrack can achieve near-optimal solutions, i.e., in every case the conflict number is optimal, while only in one case 2 more stitches are introduced. SDP+Greedy method can achieve 2× speedup against SDP+Backtrack. But the performance of SDP+Greedy is not good that for complex designs hundreds of additional conflicts are reported. The linear color assignment can achieve around 200× speedup against SDP+Backtrack, while only 15% more conflicts and 8% more stitches are reported.

6.2 Pentuple Patterning

We further compare the algorithms for pentuple patterning, that is, $K = 5$. To our best knowledge there is no exact ILP formulation for pentuple patterning in literature. Therefore we consider three baselines, i.e., SDP+Backtrack, SDP+Greedy, and Linear. All the graph division techniques are applied. Table 2 evaluates six most dense cases. We can see that compared with SDP+Backtrack, SDP+Greedy can achieve around 8× speedup, but 15% more conflicts are reported. In terms of runtime, linear color assignment can achieve 500× and 60× speedup, against SDP+Backtrack and SDP+Greedy, respectively. In terms of performance, linear color assignment reports the best conflict number minimization, but more stitches may be introduced.

Interestingly, we observe that when a layout is multiple patterning friendly, color-friendly rules can provide a good guideline, thus linear color assignment can achieve high performance in terms of conflict number. However, when a layout is very complex or involving many native conflicts, linear color assignment reports more conflicts than SDP+Backtrack. One possible reason is that the color-friendly rules are not good in modeling global conflict minimization, but both SDP and backtrack provide a global view.

7. CONCLUSIONS

In this paper we have proposed the first layout decomp-
sition framework for quadruple patterning and beyond. Experimental evaluations have demonstrated that our algorithm is effective and efficient to obtain high quality solution. As continuing scaling of technology node to sub-10nm, MPL may be a promising manufacturing solution. We believe this paper will stimulate more future research into this field, thereby facilitating the advancement of MPL technology.

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8. REFERENCES


