Model Checking

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Verification complexity
Exponential

Total number of states in an SoC ($2^{????????}$)

States

Total number of particles in the universe (2^{266})

Time

2017

Simulation

Emulation

FPGA's

Formal Verification

Model Checking
Introduction

- Does a given state machine *M* satisfy a property *P*?
  - Check for **all** possible behaviors of the state machine
  - If not, produce a trace showing the violation

Properties

- Safety properties: something **bad** will **never** happen
  - e.g. we should never write to a full buffer

- Liveness properties: something **good** will **eventually** happen
  - e.g. all requests to an arbiter will eventually be granted

Model Checking

Graph Reachability
Symbolic Model Checking
Proof by Induction

Model Checking

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Symbolic Model Checking
Proof by Induction

State Machines

- A state machine $M = (S, I, T)$
  - S is a set of states
  - $I \subseteq S$ is the set of initial states
  - $T \subseteq S \times S$ is a transition relation

State Machines
Example

$S = \{s_0, s_1, s_2, s_3\}$

$I = \{s_2\}$

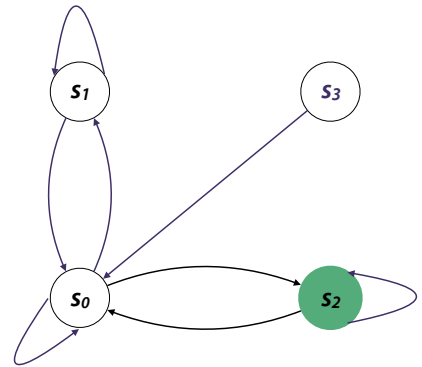$T = \{(s_0,s_0), (s_0,s_1), (s_0,s_2), (s_1,s_0), (s_1,s_1), (s_2,s_0), (s_2,s_2), (s_3,s_0)\}$

State Machines
Example

$S = \{s_0, s_1, s_2, s_3\}$
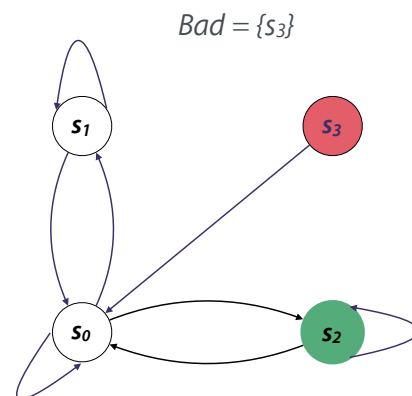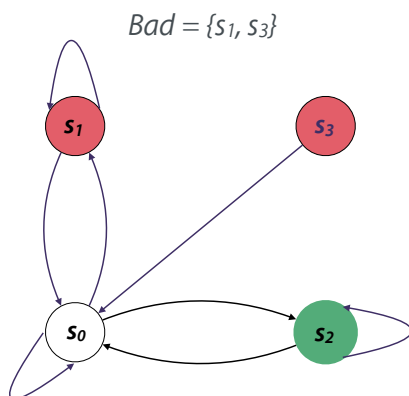
$I = \{s_2\}$

$T = \{(s_0,s_0), (s_0,s_1), (s_0,s_2), (s_1,s_0), (s_1,s_1), (s_2,s_0), (s_2,s_2), (s_3,s_0)\}$

Checking Safety Properties
Examples
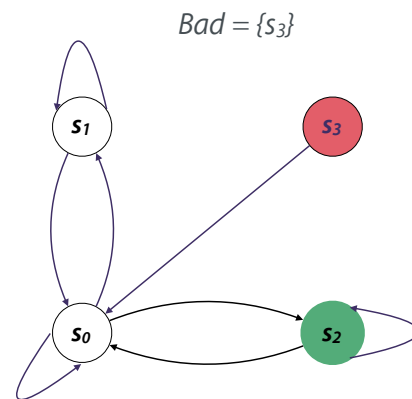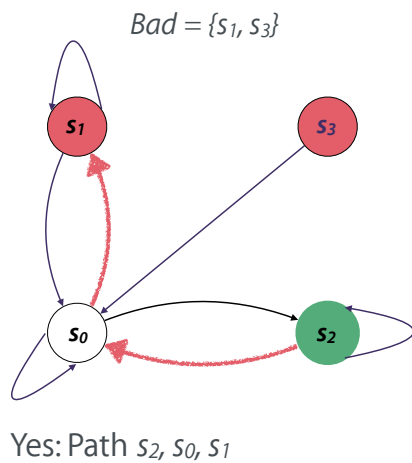
$Bad = \{s_1, s_3\}$

$Bad = \{s_3\}$

Can we reach a bad state from an initial state?

Checking Safety Properties
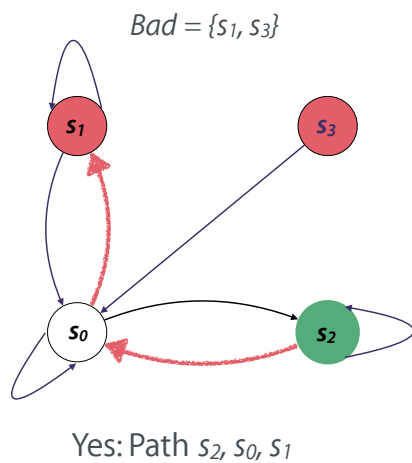## Examples

$Bad = \{s_1, s_3\}$

Yes: Path s_2, s_0, s_1

Can we reach a bad state from an initial state?

$Bad = \{s_3\}$

Checking Safety Properties
Examples

$Bad = \{s_1, s_3\}$

Yes: Path s_2, s_0, s_1

$Bad = \{s_3\}$

No path from s_2 to s_3

Can we reach a bad state from an initial state?

Explicit-state Model Checking

CHECK (M, Bad)   // M = (S, I, T), Bad ⊆ S

  if (∃s ∈ I. s ∈ Bad)   // Is there a bad initial state?
    return Fail

  Seen ⟵ I   // Mark the Initial states as Seen
  while (∃(s,s') ∈ T. s ∈ Seen and s' ∉ Seen)   // Find a reachable unseen state s'?
    if (s' ∈ Bad)   // Is s' a bad state?
      return Fail
    Seen ⟵ Seen ∪ {s'}   // Mark s' as seen
  end
  return Pass   // Cannot reach a bad state

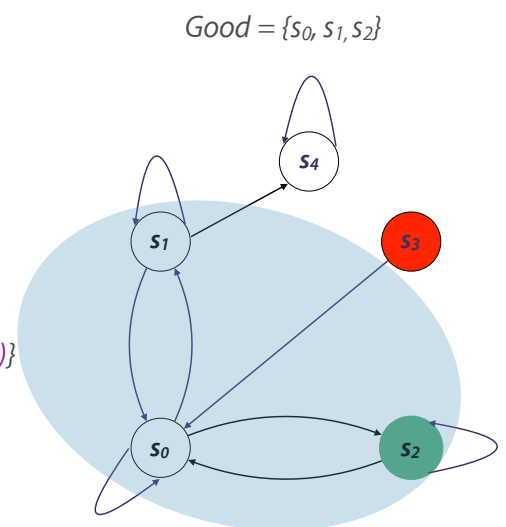## Checking Liveness properties
Example

$Good = \{s_0, s_1, s_2\}$

· Infinite loops (Deadlocks and Livelocks) in state machines

$S = \{s_0, s_1, s_2, s_3, s_4\}$

$I = \{s_2\}$

$T = \{(s_0,s_0), (s_0,s_1), (s_0,s_2), (s_1,s_0), (s_1,s_1), (s_1,s_4), (s_2,s_0), (s_2,s_2), (s_3,s_0), (s_4,s_4)\}$

Can you reach a state where you <u>cannot</u> exit from and return to *any* good state?

State-space Explosion
Example

- The number of reachable states in systems is often too large to enumerate
- Consider a system which orders n things
  - e.g. Arbitration, Out-of-order processing, …
- Number of orderings is given by $n!$

n	n!
4	24
8	40,320
16	20,922,789,888,000

Model Checking

Graph Reachability
Symbolic Model Checking
Proof by Induction

Symbolic Representation of States

- States can be encoded using Boolean variables V

State	Encoding with $V = \{x,y\}$
s_0	00
s_1	01
s_2	10
s_3	11

Symbolic Representation of States

- States can be encoded using Boolean variables V
- State sets can be represented by Boolean functions over V

Boolean Function	State Set
true	{00, 01, 10, 11}
false	{}
$x \cdot \neg y$	{10}
$x \cdot y$	{11}
y	{01, 11}

Symbolic Representation of States

- States can be encoded using Boolean variables *V*
- State sets can be represented by Boolean functions over V
- State relations can be encoded by Boolean functions over two sets of variables, V and V'
  - *V* for current state
  - *V'* for next state

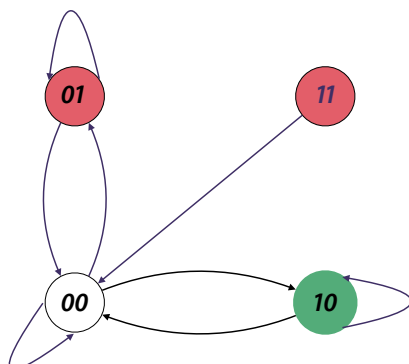| Boolean Function	Relation
$\neg x \cdot \neg y \cdot x' \cdot \neg y'$	$\{00 \rightarrow 10\}$
$\neg x \cdot \neg y \cdot (\neg x' \mid \neg y')$	$\{00 \rightarrow 00, 00 \rightarrow 10, 00 \rightarrow 01\}$

Symbolic Encoding for FSMs
Example
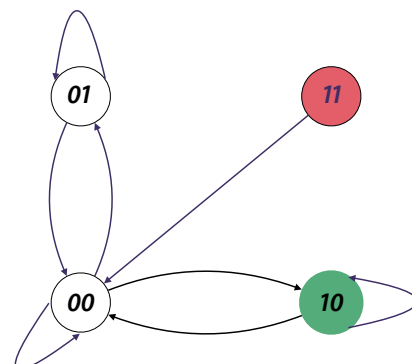
$I = x \cdot \neg y$
$T = \neg x \cdot \neg x' \mid \neg y \cdot \neg y' \mid \neg x' \cdot \neg y'$
$Bad = y$

$Bad = x \cdot y$

Symbolic Representation for Circuits

```
module toy (input clock,  input reset,
            input cx,  input cy,
            output x, output y);

  logic x; logic y;
  always @(posedge clock)
    if (reset) begin
      x <= 1'b1;
      y <= 1'b0;
    end else begin
      x <= !y && cx;
      y <= !x && !cx && cy;
    end

    // Mutex property
    assert property (!(x && y));
endmodule
```

State Variables:
$V = \{x, y\}$

Initial (reset) State:
$I(V) = x \cdot \neg y$

Transition Functions:
$x' = \neg y \cdot cx$
$y' = \neg x \cdot \neg cx \cdot cy$

Property:
$Bad = x \cdot y$

Synthesized Circuit

```
module toy (input clock,  input reset,
            input cx,  input cy,
            output x, output y);

  logic x; logic y;
  always @(posedge clock)
    if (reset) begin
      x <= 1'b1;
      y <= 1'b0;
    end else begin
      x <= !y && cx;
      y <= !x && !cx && cy;
    end

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    assert property (!(x && y));
endmodule
```

State Variables:
$V = \{x, y\}$

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$I(V) = x \cdot \neg y$

Transition Functions:
$x' = \neg y \cdot cx$
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Property:
$Bad = x \cdot y$

Transition Function and Transition Relation
Example

- Transition function:
  $x' = \neg x \cdot cx$

- Transition relation (with input variables):
  $\tilde{T}(x, cx, x') = (x' \leftrightarrow \neg x \cdot cx)$
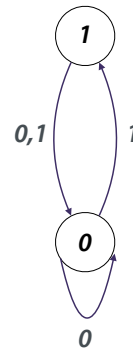

Transition Function and Transition Relation
Example

- Transition function:
  $x' = \neg x \cdot cx$

- Transition relation (with input variables):
  $\tilde{T}(x, cx, x') = (x' \leftrightarrow \neg x \cdot cx)$

- Transition Relation (without input variables):
  $T(x, x') = \exists cx.(x' \leftrightarrow \neg x \cdot cx)$
  $\qquad\quad = (\neg x \mid \neg x')$

Transition Functions and Transition Relation
Example

- Transition function:

$x' = \neg y \cdot cx$

$y' = \neg x \cdot \neg cx \cdot cy$

- Transition relation (with input variables):

$\tilde{T}(V, cx, cy, V') = (x' \leftrightarrow \neg y \cdot cx) \wedge (y' \leftrightarrow \neg x \cdot \neg cx \cdot cy)$

- Transition Relation:

$T(V, V') = \exists cx, cy.\ \tilde{T}(V, cx, cy, V')$

$\qquad = (\neg x \cdot \neg x' \,|\, \neg y \cdot \neg y' \,|\, \neg x' \cdot \neg y')$

Symbolic Representation
Verilog, Circuit and FSM

$V = \{x, y\}$
$I(V) = x \cdot \neg y$
$T(V, V') = \neg x \cdot \neg x' \,|\, \neg y \cdot \neg y' \,|\, \neg x' \cdot \neg y'$
$Bad(V) = x \cdot y$

```
module toy (input clock, input reset,
            input cx, input cy,
            output x, output y);

  logic x; logic y;
  always @(posedge clock)
    if (reset) begin
      x <= 1'b1;
      y <= 1'b0;
    end else begin
      x <= !y && cx;
      y <= !x && !cx && cy;
    end
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    assert property (!(x && y));
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```
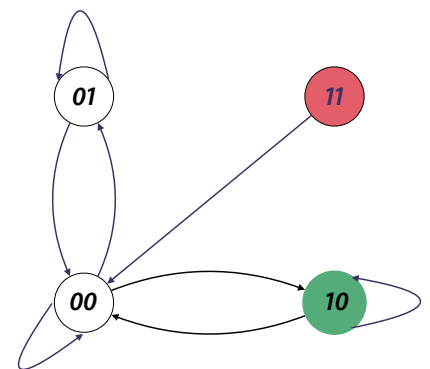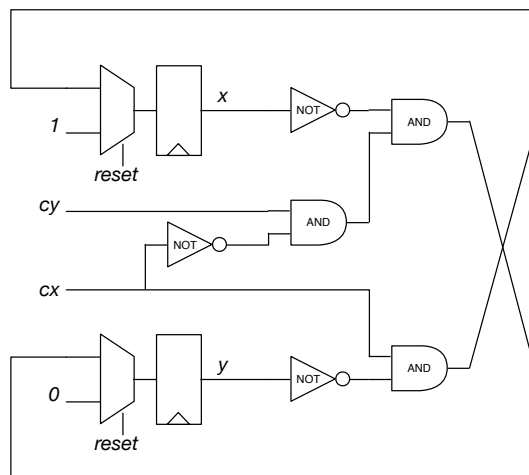
Symbolic Set Operations

Symbolic Expression	Boolean Formula	Corresponding Set	Set Expression
	true	*{00, 01, 10, 11}*	*S*
A	*x*	*{10, 11}*	S_A
B	*y*	*{01, 11}*	S_B
$A \lor B$	*x | y*	*{01, 10, 11}*	$S_A \cup S_B$
$A \land B$	*x·y*	*{11}*	$S_A \cap S_B$
$\neg A$	$\neg x$	*{00, 01}*	$S \setminus S_A$

Image Computation

- Given states $R(V)$ and transition relation $T(V,V')$
- Let $F(V') = \exists V. R(V) \land T(V,V')$,
- Let $F(V)$ be obtained by renaming V' to V in $F(V')$
- Then $F(V)$ is the set of all states reachable in one step from states in R

Image Computation

- Given states $R(V)$ and transition relation $T(V,V')$
- Let $F(V')= \exists V.\, R(V) \wedge T(V,V')$,
- Then $F(V)$ is the set of all states reachable in one step from states in R

$R(V) = I(V) = x \cdot \neg y$

$T(V,V') = \neg x \cdot \neg x' \mid \neg y \cdot \neg y' \mid \neg x' \cdot \neg y'$

$F(V') = \neg y'$

$F(V) \ = \neg y$

Image Computation

- Given states $R(V)$ and transition relation $T(V,V')$
- Let $F(V')= \exists V.\, R(V) \wedge T(V,V')$,
- Then $F(V)$ is the set of all states reachable in one step from states in R

$R(V) = \neg y$

$T(V,V') = \neg x \cdot \neg x' \mid \neg y \cdot \neg y' \mid \neg x' \cdot \neg y'$
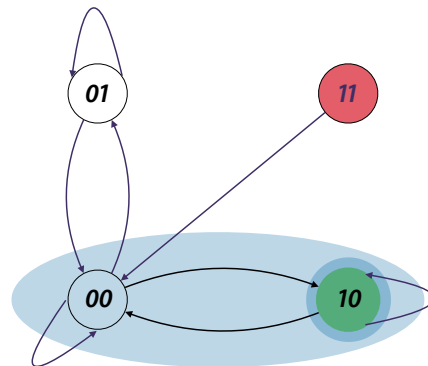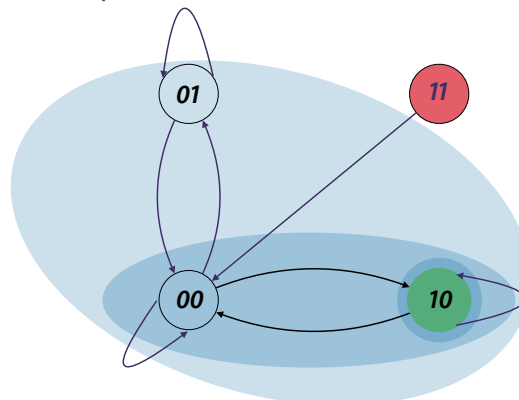
$F(V) = \neg x \mid \neg y$

Image Computation

- Given states $R(V)$ and transition relation $T(V,V')$

- Let $F(V') = \exists V. R(V) \land T(V,V')$,

- Then $F(V)$ is the set of all states reachable in one step from states in R

- We write $Img(R,T)$ for the above image computation

Symbolic Model Checking
Forward Reachability Algorithm

```
CHECK (M, Bad)                          // M = (S, I, T), Bad ⊆ S

  Prev ⟵ false                          // No states have been seen as yet
  Seen ⟵ I                              // Mark Initial States as Seen
  while (Seen ≠ Prev)                   // Have we seen any new states?
    if (Seen ∧ Bad ≠ false)             // Have we seen a bad state?
       return Fail
    Prev ⟵ Seen                         // Update previously seen states
    Seen ⟵ Prev ∨ Img(Prev,T)           // Mark states in the image of Prev as seen
  end
  return Pass                           // No Bad state reachable
```

Satisfiability Solvers

- Given a Boolean formula *Q*,

- Is there a satisfying assignment to the variables in Q?

Satisfiability Solvers
Example

- SAT$((x \mid y) \cdot (x \mid z) \cdot (\neg x \mid \neg z) \cdot (y \mid z))$?

  Yes.
  Satisfying assignment: $x=1, y=1, z=0$

  Satisfying assignment: $x=0, y=1, z=1$

- SAT$((x \mid \neg y) \cdot (\neg x \mid y) \cdot (x \mid z) \cdot (\neg x \mid \neg z) \cdot (y \mid \neg z) \cdot (\neg y \mid z))$?

  No.

Bounded Model Checking

- Bounded Model Checking: Can we reach a bad state in *k* cycles?

clock

Bounded Model Checking

- Bounded Model Checking: Can we reach a bad state in *k* cycles?
- Unroll the circuit *k* times

Initial   Bad

Bounded Model Checking

- Bounded Model Checking: Can we reach a bad state in *k* cycles?
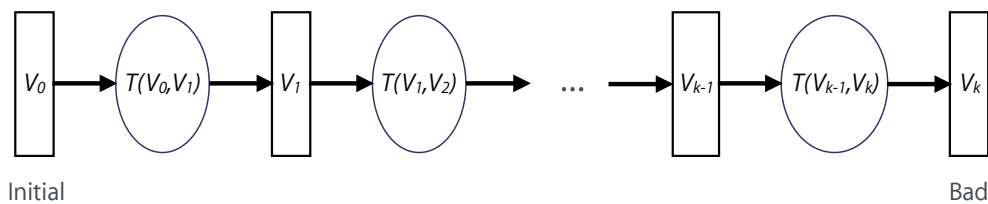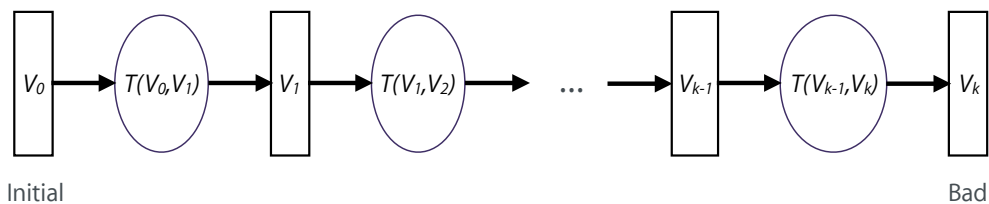- Unroll the circuit *k* times

Initial   Bad

- $Bad_k = I(V_0) \wedge T(V_0, V_1) \wedge \ldots \wedge T(V_{k-1}, V_k) \wedge Bad(V_k)$
- Unsatisfiable? No failure in *k* cycles
- Satisfiable? Satisfying assignment is a *k*-length counterexample

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Bounded Model Checking
Iterative BMC

CHECK *(M, Bad)*   *// M = (S, I, T), Bad ⊆ S*

  $k \leftarrow 0$
  while (**true**)
    if SAT*(Bad_k)*   *// k-BMC*
      return Fail
    $k \leftarrow k+1$ *// Increment k*
  end

When does the loop terminate for an N-bit state machine?

Model Checking

Graph Reachability
Symbolic Model Checking
Proof by Induction

Natural Induction

- To Prove:
  $1 + 2 + \ldots + n = (n * (n+1)) / 2$

- Base Step:
  Show that the equation holds for $n = 1$
  $1 = (1 * 2) / 2 = 1$

- Induction:
  Assume equation holds for $n = i$,
  then show that it holds for $n = (i+1)$
  $1 + 2 + \ldots + i\quad\quad = (i*(i+1))/2$ // Assumption
  $1 + 2 + \ldots + i + (i+1) = (i*(i+1))/2 + (i+1)$
  $\quad\quad\quad\quad\quad\quad\quad = (i*(i+1) + 2*(i+1))/2$
  $\quad\quad\quad\quad\quad\quad\quad = ((i+1) * (i+2))/2$

Induction for FSM Properties

- Given *Bad* states, all the other states are *Good*
  Good(V) = ¬Bad(V)

- To show that an FSM never reaches a *Bad* state

- Prove that the FSM always stays in a *Good* state
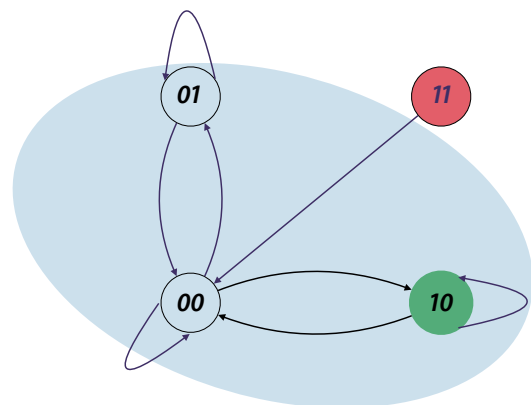 i.e. *Good* is an invariant for the system

---

Induction for FSM Properties

- Given *Bad* states, all the other states are *Good*
  Good(V) = ¬Bad(V)

- To show that an FSM never reaches a *Bad* state

- Prove that the FSM always stays in a *Good* state

- Show that the following are valid:
  $I(V) \Rightarrow Good(V)$ (Base step)

  $Good(V) \wedge T(V,V') \Rightarrow Good(V')$ (Induction)

$Bad = x \cdot y$
$Good = \neg x \mid \neg y$

Induction for FSM Properties
Not all valid properties are inductive

$V = \{x,y,z\}$

$I = x \cdot \neg y \cdot z$

$T = (x' \leftrightarrow \neg x) \cdot (y' \leftrightarrow y) \cdot (z \leftrightarrow (x \mid z))$

$Bad = x \cdot y$

$Good = \neg(x \cdot y)$ Not inductive

Inductive Invariants

- An inductive invariant for a state machine is any property $\Phi(V)$ such that:

  $I(V) \Rightarrow \Phi(V)$

  $\Phi(V) \wedge T(V,V') \Rightarrow \Phi(V')$

- To prove that FSM always stays in *Good:*
  Find an inductive invariant $\Phi(V)$

  Show that $\Phi(V) \Rightarrow Good(V)$

Model Checking and Induction

- The set *Reach* of all reachable states is, by definition, inductive
  - The initial states are in *Reach*
  - From *Reach*, you can only reach states in *Reach*

Model Checking and Induction

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- *Reach* is the strongest invariant for the state machine
  - Given any other invariant $\Phi(V)$,
    $Reach(V) \Rightarrow \Phi(V)$

Model Checking and Induction

- The set *Reach* of all reachable states is, by definition, inductive
  - The initial states are in *Reach*
  - From *Reach*, you can only reach states in *Reach*
- *Reach* is the strongest invariant for the state machine
  - Given any invariant *Φ(V)*,

    $Reach(V) \Rightarrow \Phi(V)$

- Alternate algorithms attempt to find weaker invariants:
  - Interpolation
  - Property Directed Reachability (PDR)

Model Checking and Induction
The set of reachable states is inductive

$V = \{x,y,z\}$

$I = x \cdot \neg y \cdot z$

$T = (x' \leftrightarrow \neg x) \cdot (y' \leftrightarrow y) \cdot (z \leftrightarrow (x \mid z))$

$Bad = x \cdot y$

$Good = \neg(x \cdot y)$ Not inductive

$Reach = \neg y \cdot z$ Inductive

Model Checking and Induction
Alternate Inductive Invariant

$V = \{x,y,z\}$
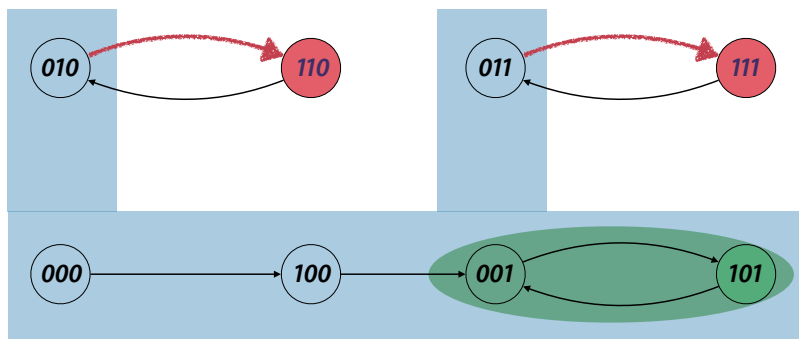
$I = x \cdot \neg y \cdot z$

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$Bad = x \cdot y$

$Good = \neg(x \cdot y)$ Not inductive

$Reach = \neg y \cdot z$ Inductive

$\Phi = \neg y$ Inductive

Model Checking and Induction
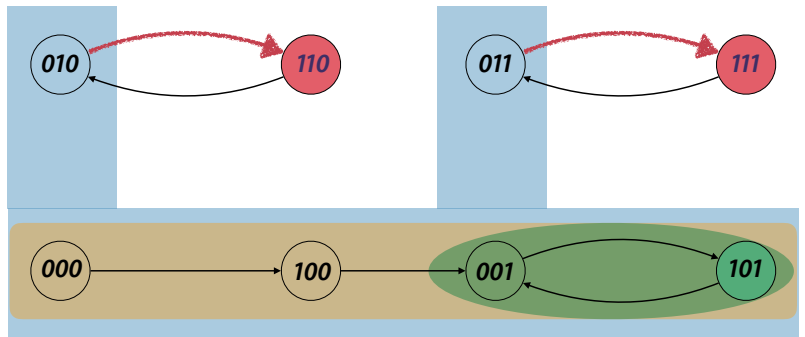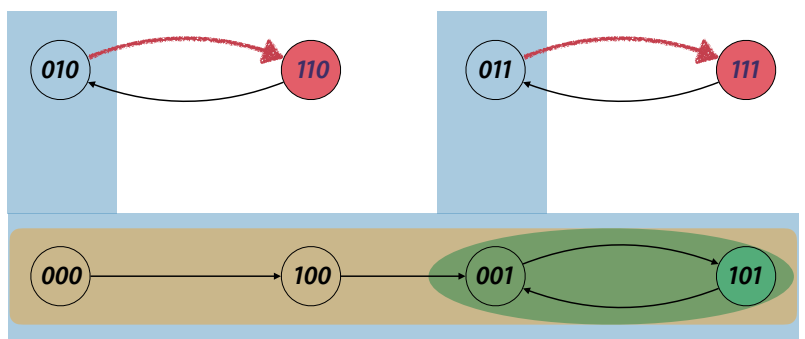## Invariant Strength

$V = \{x,y,z\}$

$I = x \cdot \neg y \cdot z$

$T = (x' \leftrightarrow \neg x) \cdot (y' \leftrightarrow y) \cdot (z \leftrightarrow (x \mid z))$

$Bad = x \cdot y$

$Good = \neg(x \cdot y)$ Not inductive

$Reach = \neg y \cdot z$ Inductive

$\Phi = \neg y$ Inductive

Invariant Strength: $Reach \Rightarrow \Phi \Rightarrow Good$

Summary

Summary

- Model Checking is an effective static analysis method for verification
  - Enables validation of complex System-on-a-Chip designs (SoC's), including CPU's and GPU's
  - Results in robust design micro-architecture specifications and implementations
  - Unit-level formal analysis <u>must</u> seamlessly dovetail into product design methodologies
- Core Ideas
  - Graph Reachability
  - Symbolic representation
  - Induction
- Plenty of scope for creative work and careers in hardware verification
  - Tools, flows, and methodologies to tackle hard verification "puzzles" in industry

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